

# Topological properties of $\mathbb{Z}_p$ and $\mathbb{Q}_p$ and Euclidean models

Samuel Trautwein, Esther Röder, Giorgio Barozzi

November 13, 2011

## 1 Topology of $\mathbb{Q}_p$ vs Topology of $\mathbb{R}$

Both  $\mathbb{R}$  and  $\mathbb{Q}_p$  are normed fields and complete metric spaces, both are completions of  $\mathbb{Q}$ . Since  $\mathbb{Q}$  is dense in both of them, they are separable. An open ball in  $\mathbb{Q}_p$  with center  $a$  and radius  $r$  is denoted by

$$B_{(a,r)} := \{x \in \mathbb{Q}_p \mid \|a - x\|_p < r\}$$

since

$$\{\|x - y\|_p \mid x, y \in \mathbb{Q}_p\} = \{p^n \mid n \in \mathbb{Z}\} \cup \{0\}$$

we only need to consider the balls of radi  $r = p^n$ , where  $n \in \mathbb{Z}$ . The sphere with center  $a$  and radius  $r$  is denoted by

$$S_{(a,r)} = \{x \in \mathbb{Q}_p \mid \|x - a\|_p = r\}$$

**Proposition 1.1.** *The Sphere  $S_{(a,r)}$  is an open set in  $\mathbb{Q}_p$ .*

*Proof.* Let  $x \in S_{(a,r)}$ , choose  $\varepsilon < r$ . We now show that  $B_{(x,\varepsilon)} \subset S_{(a,r)}$ . Let  $y \in B_{(x,\varepsilon)} \Rightarrow \|x - y\|_p < \|x - a\|_p = r$  and by Proposition 1.15 (Katok) follows  $\|y - a\|_p = \|x - a\|_p = r$  which means that  $y \in S_{(a,r)}$ . Since  $x$  and  $y$  were arbitrary it follows that

$$S_{(a,r)} = \bigcup_{x \in S_{(a,r)}} B_{(x,\varepsilon)}$$

Therefore the sphere  $S_{(a,r)}$  is a union of open sets, so it is open itself.  $\square$

**Proposition 1.2.** *Open balls in  $\mathbb{Q}_p$  are open and closed.*

*Proof.*  $B_{(a,r)}$  is closed  $\Leftrightarrow B_{(a,r)}^c = \{x \in \mathbb{Q}_p \mid \|x - a\|_p \geq r\}$  is open. We know

$$B_{(a,r)}^c = S_{(a,r)} \cup D$$

where  $D := \{x \in \mathbb{Q}_p \mid \|x - a\|_p > r\}$

Because of the last Proposition it suffices to show that  $D$  is open.

So, let  $y \in D$ ,  $\|y - a\|_p =: r_1 > r$ . We claim:  $B_{(y,r_1-r)} \subset D$ .

Otherwise there exists an  $x \in B_{(y,r_1-r)}$  such that  $\|x - a\|_p \leq r$  but using the triangle inequality, leads to

$$r_1 = \|y - a\|_p = \|y - x + x - a\|_p \leq \|y - x\|_p + \|x - a\|_p < r + (r_1 - r) = r$$

which is a contradiction to our assumption  $r_1 < r$ .

Therefore,  $B_{(y,r_1-r)} \subset D$ , so  $D = \bigcup_{y \in D} B_{(y,r_1-r)}$  which means that  $D$  is open.  $\square$

Hence, since  $B_{(a,r)}$  is closed, the open balls in  $\mathbb{Q}_p$  have no boundary and in particular  $S_{(a,r)}$  is not the boundary of  $B_{(a,r)}$ . In addition we get that

$$\overline{B_{(a,p^n)}} \neq \overline{B_{(a,p^n)}} = B_{(a,p^n)}$$

in fact we have

$$\overline{B_{(a,p^n)}} = \{x \in \mathbb{Q}_p \mid \|x - a\|_p \leq p^n\} = \{x \in \mathbb{Q}_p \mid \|x - a\|_p < p^{n+1}\} = B_{(a,p^{n+1})}$$

**Proposition 1.3.** *Every point of a ball is its center, i.e.*

$$\forall b \in B_{(a,r)} \text{ we have } B_{(a,r)} = B_{(b,r)}$$

**Proposition 1.4.** *Two balls in  $\mathbb{Q}_p$  have a non empty intersection if and only if one is contained in the other, i.e.*

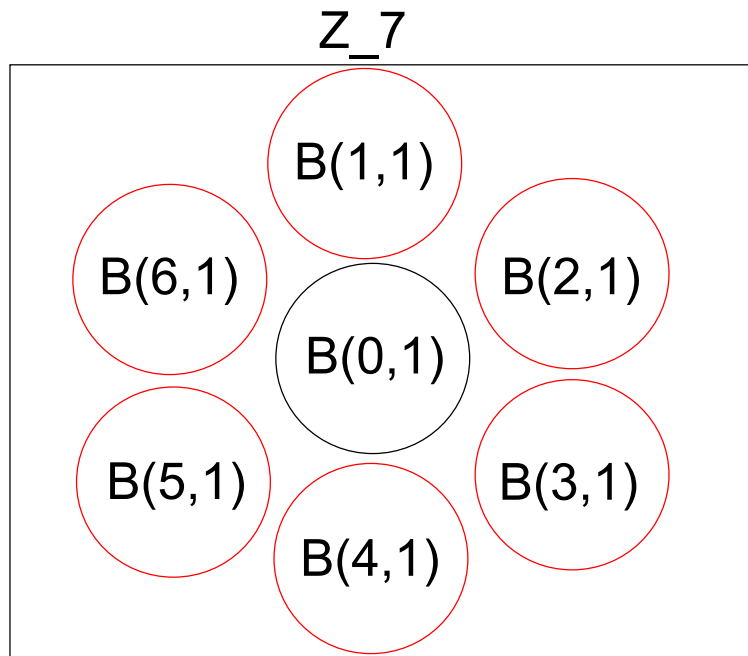
$$B_{(a,r)} \cap B_{(b,s)} \neq \emptyset \Leftrightarrow B_{(a,r)} \subset B_{(b,s)} \text{ or } B_{(b,s)} \subset B_{(a,r)}$$

*Proof.*  $\Leftarrow$  is clear

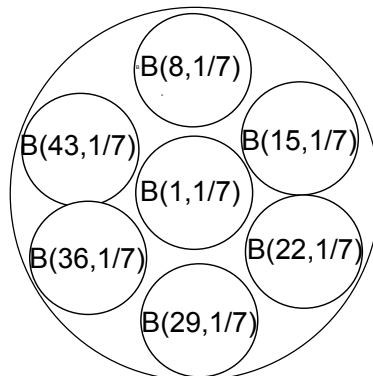
$\Rightarrow$  let  $y \in B_{(a,r)} \cap B_{(b,s)}$  w.l.o.g assume  $r \leq s$ , by the Proposition before we have

$$B_{(a,r)} = B_{(y,r)} \subset B_{(y,s)} = B_{(b,s)}$$

$\square$



Zoom into  $B(1,1)$



Zoom into  $B(1,1/7)$

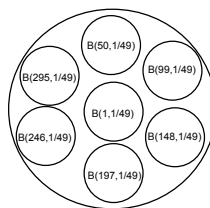


Figure 1:  $Z_7$

Taking  $Z_7$  as example we see that  $S_{(0,1)} = \bigcup_{x \in \{1, \dots, 6\}} B_{(x,1)}$  in addition it holds that

$$\forall x, y \in Z_7 \text{ s.t. } x \in y + 7^k Z_7 \text{ we have } B(x, 7^{-(k-1)}) = B(y, 7^{-(k-1)})$$

let  $x \in Z_7$  be arbitrary,  $k \in \mathbb{N}$  then we can observe that

$$B_{(x, 7^{-k})} = \bigcup_{j \in \{0, \dots, 6\}} B(x + j * 7^{k+1}, 7^{-(k+1)})$$

We can generalize this :

Let  $p$  be a prime number, then we have that  $S_{(0,1)} = \bigcup_{x \in \{1, \dots, p-1\}} B_{(x,1)}$  in addition we have that

$$\forall x, y \in Z_p \text{ s.t. } x \in y + 7^k Z_p \text{ we have } B(x, p^{-(k-1)}) = B(y, p^{-(k-1)})$$

and for an arbitrary element  $x \in Z_p$ , for all  $k \in \mathbb{N}$  it holds that

$$B_{(x, p^{-k})} = \bigcup_{j \in \{0, \dots, p-1\}} B(x + j * p^{k+1}, p^{-(k+1)})$$

**Proposition 1.5.** *The sphere  $S_{(a,r)}$  is open and closed*

*Proof.* We have already shown that every sphere is open. We observe that  $S_{(a,r)} = \overline{B}_{(a,r)} \cap B_{(a,r)}^c$  which is closed because it is a finite intersection of closed subsets.  $\square$

**Proposition 1.6.** *The set of all balls in  $\mathbb{Q}_p$  is countable.*

*Proof.* Let  $B_{(a,r)}$  be an arbitrary ball in  $\mathbb{Q}_p$ . We know that  $r = p^{-s}$  for some  $s \in \mathbb{Z}$ . Since  $a \in \mathbb{Q}_p$  there exist  $m \in (\mathbb{Z})$  s.t.  $a_m \neq 0$  and  $a = \sum_{n=m}^{\infty} a_n p^n$ . Let  $a_0 := \sum_{n=m}^s a_n p^n$ , obviously  $a_0 \in \mathbb{Q}$  and we have  $\|a - a_0\|_p < p^{-s}$  which means that  $a_0 \in B_{(a, p^{-s})}$ . As before, we have  $B_{(a,r)} = B_{(a_0, p^{-s})}$ . Therefore both, the set of radii and the set of centers of balls in  $\mathbb{Q}_p$ , are countable which leads to the fact that the set of balls in  $\mathbb{Q}_p$  is countable.  $\square$

**Theorem 1.7.** *The set  $\mathbb{Z}_p$  is compact and the space  $\mathbb{Q}_p$  is locally compact.*

*Proof.* We know that  $\mathbb{Z}_p$  is sequentially compact, since it is a metric space it is therefore compact. Because  $\mathbb{Z}_p = \overline{B}_{(0,1)} = B_{(0,p)}$  it follows that every ball in  $\mathbb{Q}_p$  is compact. So  $\mathbb{Q}_p$  is a locally compact space.  $\square$

**Theorem 1.8.**  $\mathbb{N}$  is dense in  $\mathbb{Z}_p$ .

*Proof.* let  $x = \dots a_2 a_1 a_0 \in \mathbb{Z}_p$  for all  $n \in \mathbb{N}$  define

$$x_n := \dots 00a_n a_{n-1} \dots a_0 = \sum_{i=0}^n a_i p^i \in \mathbb{N}$$

we obtain  $\|x - x_n\|_p < p^{-n}$  □

**Theorem 1.9.** The space  $\mathbb{Q}_p$  is totally disconnected.

*Proof.* We show that for an arbitrary element  $a \in \mathbb{Q}_p$  the connected component  $C_a$  of  $a$  is equal to  $\{a\}$ . Let  $a$  be arbitrary and suppose  $C_a \supsetneq \{a\}$  therefore there exist  $n \in \mathbb{N}$  such that  $B_{(a, p^{-n})} \cap C_a \neq C_a$ . But then we have

$$C_a = (B_{(a, p^{-n})} \cap C_a) \cup ((\mathbb{Q}_p \setminus B_{(a, p^{-n})}) \cap C_a)$$

which is the disjoint union of two open subsets. Therefore  $C_a$  is not connected, which is a contradiction. □

## 2 Cantor Set Models of $\mathbb{Z}_p$

We start with a repetition of the classical Cantor set  $C \subset [0, 1]$  and explain its basic properties. We will observe that  $\mathbb{Z}_p$  is homeomorphic to  $C$  for every prime number  $p$ . In the case  $p = 2$  there is a natural homeomorphism  $\mathbb{Z}_2 \cong C$  using the triadic expansion of real numbers. In the case  $p > 2$  there is a natural homeomorphism  $C^{(p)} \cong \mathbb{Z}_p$ , where  $C^{(p)} \subset [0, 1]$  is obtained by a similar construction as the one of the classical Cantor set  $C$ .

This allows us to reduce the initial claim that every  $\mathbb{Z}_p$  is homeomorphic to  $C$  to the statement that  $C^{(p)}$  and  $C$  are homeomorphic.

**Definition 2.1** (Cantor Set). Let  $A = \bigcup_{k \in \mathbb{Z}} [2k, 2k + 1]$  and  $C_0 := [0, 1]$ . We define by induction

$$C_n := C_{n-1} \cap (3^{-n} A).$$

Then the **Cantor set C** is given by

$$C := \bigcap_{n \geq 0} C_n$$

Each set  $C_n$  consists of  $2^n$  closed intervals of length  $3^{-n}$  and  $C_{n+1}$  is obtained from  $C_n$  by removing the middle third in of each of these intervals.

**Lemma 2.1** (Properties of the Cantor Set  $C$ ). *The Cantor set  $C \subset [0, 1]$  satisfies the following:*

1.  $C$  is compact.
2. The Cantor set has vanishing Lebesgue measure, i.e.  $|C| = 0$  where  $|\cdot|$  denotes the Lebesgue measure.
3. The Cantor set is perfect (see definition below).
4. The Cantor set is uncountable.

**Definition 2.2** (Perfect Set). A closed set  $E$  is called **perfect**, if for every  $x \in E$  there exists a sequence  $(x_n) \subset E - \{x\}$  converging to  $x$ .

*Proof.*  $C$  is clearly bounded and closed, since each  $C_n$  is closed, and therefore  $C$  is compact.

Since  $C_n$  is the union of  $2^n$  intervals of length  $3^{-n}$  we have  $|C_n| = (2/3)^n$  and since  $C_n \supset C_{n+1}$  for all  $n$  we conclude

$$|C| = \lim_{n \rightarrow \infty} |C_n| = \lim_{n \rightarrow \infty} \left(\frac{2}{3}\right)^n = 0$$

For the third statement note that  $\partial C_n \subset C$ . Now pick  $x \in C$  arbitrary. We can choose  $x_n \in \partial C_n - \{x\}$  such that  $|x_n - x| \leq 3^{-n}$  and therefore  $x_n \rightarrow x$ .

We show that the third statement implies the last one. So let  $E \subset \mathbb{R}$  be any nonempty perfect subset and assume  $E = \{e_i\}_{i=1}^{\infty}$  is countable. Define  $E_n := E - \{e_n\}$ . Choose  $x_1 \in E_1$  and let  $I_1$  be a finite open interval such that  $x_1 \in I_1$  and  $e_1 \notin \bar{I}_1$ . Since  $E$  is perfect we have  $I_1 \cap E_2 \neq \emptyset$ . Then pick  $x_2 \in I_1 \cap E_2$  and let  $I_2$  be an open interval such that  $x_2 \in I_2 \subset I_1$  and  $e_2 \notin \bar{I}_2$ . Continuing in this way we obtain a decreasing sequence  $(I_n)$  of intervals such that  $e_n \notin \bar{I}_n$ . On the other hand we observe

$$\bigcap_{n \geq 1} E \cap \bar{I}_n \neq \emptyset$$

since all sets  $E \cap \bar{I}_n$  are compact and nonempty. This contradicts our assumption that  $E$  is countable. □

**Definition 2.3** (*d*-Adic Expansion). Let  $d \in \mathbb{Z}^+$  and  $x \in [0, 1]$ . A ***d*-adic expansion** of  $x$  is given by

$$x = \sum_{n=1}^{\infty} x_n d^{-n}$$

where  $x_n \in \{0, 1, \dots, d-1\}$ . It is easy to check that every  $x \in [0, 1]$  admits a unique *d*-adic expansion, when we enforce the digit sequence  $\{x_k\}$  to have not finite support. We denote the *d*-adic expansion by  $[x]_d = 0, x_1 x_2 \dots$

For  $d = 10$  this leads to the usual decimal expansion of real numbers and we have for example  $0.1 = 0.0999999 \dots$ . By definition  $[1/10]_{10}$  is the second variant.

**Lemma 2.2** (Characterization of  $C$  via triadic expansion). *Let  $x \in [0, 1]$  and  $[x]_3 = 0, x_1 x_2 \dots$  be the triadic expansion of  $x$ . Then it holds  $x \in C$  if and only if  $x_n \in \{0, 2\}$  for every  $n$ .*

*Proof.* By induction on  $n$ , it follows immediately from the definitions that  $x \in C_n$  if and only if  $x_1, \dots, x_n \in \{0, 2\}$ .  $\square$

**Theorem 2.3** (Homeomorphism of  $\mathbb{Z}_2$  and  $C$ ).  *$\mathbb{Z}_2$  equipped with the 2-adic norm  $|\cdot|_2$  is homeomorphic to  $C$  equipped with the absolute value as norm. An explicit homeomorphism is given by*

$$\Phi : \mathbb{Z}_2 \longrightarrow C, \quad \sum_{n=0}^{\infty} a_n 2^n \mapsto \sum_{n=0}^{\infty} (2a_n) 3^{-(n+1)}.$$

*Proof.* Clearly,  $\Phi$  is bijective. Let  $x = \sum x_n 2^n$ ,  $y = \sum y_n 2^n \in \mathbb{Z}_2$ . Then it holds

$$\begin{aligned} |x - y|_2 \leq 2^{-k} &\Leftrightarrow x_n = y_n \text{ for } n \leq k \\ &\Leftrightarrow \text{The first } k \text{ digits in } [\Phi(x)]_3 \text{ and } [\Phi(y)]_3 \text{ are equal} \\ &\Leftrightarrow |\Phi(x) - \Phi(y)| \leq 3^{-k} \end{aligned}$$

This shows that  $\Phi$  is a homeomorphism.  $\square$

Now we will extend our discussion to the case of  $\mathbb{Z}_p$  for a prime number  $p > 2$ . The results will follow completely analog to the case  $p = 2$  and therefore our presentation will be briefer.

**Definition 2.4** (Cantor Set  $C^{(p)}$ ). We define a variant of the classical Cantor set. Let  $p$  be a prime number,  $A = \bigcup_{k \in \mathbb{Z}} [2k, 2k + 1]$  and  $C_0^{(p)} := [0, 1]$ . We define inductively

$$C_n^{(p)} := C_{n-1}^{(p)} \cap ((2p-1)^{-n}A)$$

and

$$C^{(p)} := \bigcap_{n \geq 0} C_n^{(p)}.$$

The set  $C_n^{(p)}$  consists of  $[(2p-1)/2]^n$  disjoint open intervals of length  $(2p-1)^{-n}$  and  $C_{n+1}^{(p)}$  is obtained by subdividing each of these intervals into  $2p-1$  equal subintervals and then deleting every second open interval.

**Lemma 2.4** (Properties of  $C^{(p)}$ ). *The Cantor set  $C^{(p)}$  satisfies the following properties:*

1.  $C^{(p)}$  is a compact and perfect subset of the real line with vanishing Lebesgue measure. In particular  $C^{(p)}$  is uncountable.
2.  $C^{(p)}$  contains all  $x \in [0, 1]$  whose  $(2p-1)$ -adic expansion  $[x]_{2p-1} = 0, x_1 x_2 x_3 \dots$  contains only even digits  $\{x_n\}$ .

*Proof.* The proof is completely analogue to the case  $p = 2$ . □

**Theorem 2.5** (Homeomorphism of  $\mathbb{Z}_p$  and  $C^{(p)}$ ).  $\mathbb{Z}_p$  equipped with the  $p$ -adic norm  $|\cdot|_p$  is homeomorphic to  $C^{(p)}$  equipped with the absolute value as norm. An explicit homeomorphism is given by

$$\Phi_p : \mathbb{Z}_p \longrightarrow C, \quad \sum_{n=0}^{\infty} a_n p^n \mapsto \sum_{n=0}^{\infty} (2a_n) (2p-1)^{-(n+1)}.$$

*Proof.* The proof is the same as for  $p = 2$ . It is clear that  $\Phi_p$  is bijective. Let  $x = \sum x_n p^n, y = \sum y_n p^n \in \mathbb{Z}_p$ . Then it holds

$$\begin{aligned} |x - y|_2 \leq p^{-k} &\Leftrightarrow x_n = y_n \text{ for } n \leq k \\ &\Leftrightarrow \text{The first } k \text{ digits in } [\Phi(x)]_{2p-1} \text{ and } [\Phi(y)]_{2p-1} \text{ are equal} \\ &\Leftrightarrow |\Phi(x) - \Phi(y)| \leq (2p-1)^{-k} \end{aligned}$$

This shows that  $\Phi_p$  is a homeomorphism. □



**Theorem 2.6** (Topological equivalence of the spaces  $\mathbb{Z}_p$ ). *The spaces  $\mathbb{Z}_2$  and  $\mathbb{Z}_p$  are homeomorphic*

Recall that all spaces  $\mathbb{Z}_p$  are totally disconnected. Consequently, the classical Cantor set  $C$  and its variants  $C^{(p)}$  are totally disconnected and therefore the theorem follows from the following Lemma.

**Lemma 2.7.** *Any compact perfect totally disconnected subset  $E$  of the real line is homeomorphic to the Cantor set  $C$*

*Proof.* Let  $m := \inf E$  and  $M := \sup E$ . We are going to define a function  $F : [m, M] \rightarrow [0, 1]$  such that  $F$  maps  $E$  homeomorphic on  $C$ . We will construct this function on the complements  $[m, M] \setminus E \rightarrow [0, 1] \setminus C$  first, since the complements are both countable unions of open intervals and therefore much less complicated than the sets  $E$  and  $C$  themselves. Since both complements are dense we can extend this map by continuity to a map  $F : [m, M] \rightarrow [0, 1]$ .

The connected subsets of the real line are precisely the intervals. Therefore  $[m, M] \setminus E$  is the disjoint union of infinite but countably many open intervals (they are the connected components of  $[m, M] \setminus E$ ) and the same is true for  $[0, 1] \setminus C$ . Let  $\mathcal{I}$  be the collection of the intervals whose union is  $[m, M] \setminus E$  and  $\mathcal{J}$  be the collection whose union is  $[0, 1] \setminus C$ . We start with the construction of an appropriate bijection

$$\Theta : \mathcal{I} \rightarrow \mathcal{J}.$$

Let  $I_1 \in \mathcal{I}$  be an interval of maximal length and define  $\Theta(I_1) = (1/3, 2/3)$ . Next choose intervals  $I_{21}$  and  $I_{22}$  to the left and right of  $I_1$  such that they have maximal length and define  $\Theta(I_{21}) = (1/9, 2/9)$  and  $\Theta(I_{22}) = (7/9, 8/9)$ . Continuing this process defines  $\Theta$  on the whole set  $\mathcal{I}$ , since  $\mathcal{I}$  contains only finitely many sets of length greater than some fixed  $\epsilon > 0$  and since any two intervals in  $\mathcal{I}$  or in  $\mathcal{J}$  have different endpoints (as  $E$  and  $C$  are perfect). It is clear from the construction that  $\Theta$  is bijective and order preserving in the sense that if  $I$  is to the left of  $I'$ , then  $\Theta(I)$  is to the left of  $\Theta(I')$ .

Now define  $F$  as follows: For  $I \in \mathcal{I}$  let  $F|_I : I \rightarrow \Theta(I)$  be the unique linear increasing map, which maps  $I$  bijectively onto  $\Theta(I)$ . Since  $E$  and  $C$  are totally disconnected, they are nowhere dense and thus there exists at most one continuation  $F : [m, M] \rightarrow [0, 1]$ . Now it follows from our construction of  $\Theta$  that a well-defined continuation  $F : [m, M] \rightarrow [0, 1]$  is given by

$$F(x) = \sup\{ F(y) : y \notin E, y \leq x \}$$

Let  $f := F|_E$ . Then  $f : E \rightarrow C$  is a monotone increasing, continuous bijection and we need to show that  $g := f^{-1}$  is continuous. Note that  $g$  is again monotone increasing. Let  $x \in C$  and  $x_n \rightarrow x$  converge to  $x$ . This sequence contains a monotone subsequence and thus we may assume wlog that the sequence  $x_n$  itself is monotone increasing. Clearly,

$$y := \lim_{n \rightarrow \infty} g(x_n) = \sup_{n \geq 1} g(x_n) \leq g(x)$$

Now assume  $y < g(x)$ . Since  $E$  is closed, we have  $y \in E$  and  $g^{-1}(y) < x$ . This implies that  $y < x_n$  for large  $n$  and by monotonicity  $y < g(x_n)$ . This contradicts the definition of  $y$  and shows continuity of  $g$ .  $\square$

### 3 Euclidean models of $\mathbb{Z}_p$

#### 3.1 Linear models of $\mathbb{Z}_p$

Let us choose  $b \in (1, \infty)$  and use it as numeration base in  $[0, 1]$ , i.e. we write

$$[0, 1] \ni a = \frac{a_0}{b} + \frac{a_1}{b^2} + \dots = \sum_{i=0}^{\infty} \frac{a_i}{b^{i+1}}$$

with  $0 \leq a_i < b$ ,  $a_i \in \mathbb{N}$ .

We consider

$$\psi := \psi_{b,p} : \mathbb{Z}_p \rightarrow [0, 1] \quad \sum_{i=0}^{\infty} a_i p^i \mapsto \alpha \sum_{i=0}^{\infty} \frac{a_i}{b^{i+1}} \quad (1)$$

with  $\alpha \in \mathbb{R}^+$  chosen such that  $\max_{\zeta \in \mathbb{Z}_p} \psi(\zeta) = 1$ .

**Proposition 3.1.** *Let  $\psi : \mathbb{Z}_p \rightarrow [0, 1]$  be defined as in (1) with  $b > 1$  and let  $p$  be a prime number. Then*

1.

$$\alpha = \frac{b-1}{p-1}$$

2.  $\psi$  and its inverse are always continuous;

3.  $\psi$  is injective if  $b > p$  (and in this case it is a homeomorphism onto its image  $\psi(\mathbb{Z}_p)$ ).

*Proof.* 1. Since the  $a_i$ 's are all positive numbers,  $\max_{\zeta \in \mathbb{Z}_p} \psi(\zeta)$  is attained when these are maximal (thus  $a_i = p - 1$  for all  $i \in \mathbb{N}$ ). So take  $\zeta = \sum_{i=0}^{\infty} (p - 1)p^i = -1$ . Then

$$1 = \alpha(p - 1) \sum_{i=0}^{\infty} \frac{1}{b^{i+1}} = \alpha(p - 1) \left( \frac{1}{1 - \frac{1}{b}} - 1 \right) = \alpha \frac{p - 1}{b - 1}$$

and thus the claim follows.

2. This is analogous to the proof of Theorem 2.6. Let  $x = \sum_{n=0}^{\infty} x_n p^n$  and  $y = \sum_{n=0}^{\infty} y_n p^n \in \mathbb{Z}_p$ . Then

$$\begin{aligned} |x - y|_p \leq p^{-k} &\Leftrightarrow x_n = y_n \text{ for } n \leq k \\ &\Leftrightarrow \text{The first } k \text{ digits in } [\psi(x)]_b \text{ and } [\psi(y)]_b \text{ are equal} \\ &\Leftrightarrow |\psi(x) - \psi(y)| \leq b^{-k} \end{aligned}$$

and since both  $b, p \geq 1$  we have always continuity for both maps.

3. We will have injectivity if  $\sum_{i>k} (p - 1)p^i, p^k \in \mathbb{Z}_p$  have distinct images in  $[0, 1]$  with  $\psi(\sum_{i>k} (p - 1)p^i) < \psi(p^k)$ .

$$\begin{aligned} \psi\left(\sum_{i>k} (p - 1)p^i\right) &= \frac{b - 1}{p - 1} \sum_{i=k+1}^{\infty} \frac{p - 1}{b^{i+1}} = (b - 1) \left( \sum_{i=0}^{\infty} \frac{1}{b^i} - \sum_{i=0}^{k+2} \frac{1}{b^i} \right) = \\ &= (b - 1) \left( \frac{1}{1 - \frac{1}{b}} - \frac{1 - \frac{1}{b^{k+2}}}{1 - \frac{1}{b}} \right) = \\ &= (b - 1) \frac{b}{b - 1} \left( 1 - \frac{b^{k+2} - 1}{b^{k+2}} \right) = \frac{b}{b^{k+2}} = b^{-k-1} \\ \psi(p^k) &= \frac{b - 1}{p - 1} \frac{1}{b^{k+1}} = \frac{b - 1}{p - 1} b^{-k-1} \end{aligned}$$

Thus we must have  $\frac{b-1}{p-1} > 1$ , i.e.  $b > p$ .

□

*Remark.* As seen in the previous section, the Cantor set  $C^p$  corresponds to  $\psi_{2p-1,p}$ .

When  $b > p$ ,  $\psi$  is thus an homeomorphism and we get a subset of  $[0, 1]$  homeomorphic to  $\mathbb{Z}_p$ .

*Definition 3.1.* A subset  $A$  of  $\mathbb{R}^n$  homeomorphic to  $\mathbb{Z}_p$  is called **Euclidean model** of  $\mathbb{Z}_p$ . In the special case  $n = 1$ , we call such subsets **linear models** of  $\mathbb{Z}_p$ .

*Definition 3.2.* A **fractal** is a self-similar geometric object. In other words, it can be split into parts, each of which is a reduced-size copy of the whole.

*Definition 3.3.* Informally speaking, the **self-similarity dimension** of a fractal is the statistical quantity that indicates how it appears to fill the space. More explicitly, if we take an object with euclidean dimension  $d$  and reduce its linear size by  $\frac{1}{l}$  in each spacial dimension, then it takes  $N = l^d$  self-similar objects to cover the original one. Thus

$$d = \log_l(N) = \frac{\ln(N)}{\ln(l)}.$$

*Remark.* Let us obtain this definition a little bit more formally: Let  $A$  be a  $d$ -dimensional fractal and let  $E(A)$  denote its extent in the space (which is intuitively clear). Then  $E(A)$  is a homogeneous function of degree  $d$  and  $A$  is the union of  $N$  translates of  $\frac{1}{l}A$ . Thus we get

$$E(A) = N \cdot E\left(\frac{1}{l}A\right) = \frac{N}{l^d} E(A).$$

*Remark.* 1. In the case of a linear model of  $\mathbb{Z}_p$  (i.e. when  $b > p$ ), we have de facto a fractal with  $l = b$  and  $N = p$ . As one can imagine,  $0 < d = \frac{\ln(p)}{\ln(b)} < 1$ .

2. Note that

$$b \searrow p \quad \Rightarrow \quad d \nearrow 1.$$

*Example 3.1.* 1. The self-similarity dimension is a generalization of the usual dimension! Let  $A$  for example be a cube. If we reduce its linear size by  $\frac{1}{l}$  in each spacial dimension then we obviously need  $l^3$  little cubes to cover the original one. Thus

$$d = \frac{\ln(l^3)}{\ln(l)} = 3.$$

2. Let us take the Cantor set  $C^{(p)}$  as in the previous section. Then

$$d = \frac{\ln(p)}{\ln(2p-1)}.$$

Note in particular that the usual Cantor set  $C = C^{(2)}$  has dimension

$$d = \frac{\ln(2)}{\ln(3)} = 0.63.$$

### 3.2 Higher-dimensional Euclidean models of $\mathbb{Z}_p$

We want to generalize the map  $\psi$  as follows: in order to obtain an image in a general space  $V$ , we first need to map the digits of a  $p$ -adic number to some points in the space. Now the generalization becomes quite clear, since we will map these points in  $V$  under the map  $\psi$ .

In this subsection we will see how  $\alpha$  and  $b$  has to be chosen to get a good generalization  $\Psi$  of the map  $\psi$ . But first let us define rigorously the map  $\Psi$ :

*Definition 3.4.* 1. A finitely dimensional inner product space  $V$  over  $\mathbb{R}$  is called **Euclidean space**.

2. Let  $V$  be an Euclidean space and let  $\nu : S := \{0, 1, \dots, p-1\} \hookrightarrow V$  be an injective map. Then define  $V \supset \Sigma := \nu(S)$  and

$$\Psi := \Psi_{\nu, b, p} : \mathbb{Z}_p \rightarrow V \quad \sum_{i=0}^{\infty} a_i p^i \mapsto \alpha \sum_{i=0}^{\infty} \frac{\nu(a_i)}{b^{i+1}} \quad (2)$$

We already know we can express  $\mathbb{Z}_p$  in a disjoint union as following:

$$\mathbb{Z}_p = \bigsqcup_{a \in S} a + p\mathbb{Z}_p.$$

Let us see what is the image of  $\mathbb{Z}_p$  under  $\Psi$ :

$$\Psi(\mathbb{Z}_p) = \Psi\left(\bigsqcup_{a \in S} a + p\mathbb{Z}_p\right) = \bigcup_{a \in S} \Psi(a) + \Psi(p\mathbb{Z}_p) = \bigcup_{v \in \Sigma} \frac{\alpha v}{b} + \frac{1}{b} \Psi(\mathbb{Z}_p) \quad (3)$$

since multiply by  $p$  corresponds (under  $\Psi$ ) to move the dot on the right in the numeration base  $b$ . Note the union is no more necessarily disjoint, but for  $b$  enough large it will be. In this case  $\Psi(\mathbb{Z}_p)$  is injective and hence a homeomorphism (since continuity of  $\Psi$  and its inverse are obvious). Furthermore it is the union of disjoint self-similar images (i.e. a fractal) and hence we have an iterative construction of the spatial model.

*Remark.* As in the case for linear models, we need a good choice for  $\alpha$ , in the sense that we want the smallest  $\alpha$  such that all elements in  $\Sigma$  are reached by  $\Psi(\mathbb{Z}_p)$ .

*Lemma 3.2.* *Choosing  $\alpha = b - 1$ ,  $\Psi(\mathbb{Z}_p)$  remains in the convex hull  $\bar{\Sigma}$  of  $\Sigma$  and hence it is the desired  $\alpha$ .*

*Proof.* Let  $\lambda : V \rightarrow \mathbb{R}$  be an affine linear functional such that

$$\lambda \leq 1 \text{ on } \Sigma \text{ and } \lambda(v) = 1 \text{ for some } v \in \Sigma.$$

Then

$$\lambda\left(\alpha \sum_{i=0}^{\infty} \frac{\nu(a_i)}{b^{i+1}}\right) \leq \alpha \sum_{i=0}^{\infty} \frac{1}{b^{i+1}} = 1$$

and thus  $F := \Psi(\mathbb{Z}_p) \subset \bar{\Sigma}$ . □

To sum up, we obtain a good generalization  $\Psi$  of the homeomorphic map  $\psi$  if we choose  $\alpha = b - 1$  and  $b$  large enough in order to get a disjoint union in (3).

Before going to some clarifying examples let us see how we can obtain an iterative construction of a fractal through removing pieces:

*Remark.* The fractal  $F := \Psi(\mathbb{Z}_p)$  is the intersection of a decreasing sequence of compact subsets  $K_n$ :

Let  $K_0 := \bar{\Sigma}$ . Then

$$F = \bigcup_{v \in \Sigma} \alpha \frac{v}{b} + \frac{F}{b} \subset \bigcup_{v \in \Sigma} \alpha \frac{v}{b} + \frac{K_0}{b} =: K_1$$

and inductively we have

$$F \subset K_n := \bigcup_{v \in \Sigma} \alpha \frac{v}{b} + \frac{K_{n-1}}{b} \text{ for all } n$$

This leads to the representation

$$F = \bigcap_{n=1}^{\infty} K_n$$

which is a lot useful for constructing inductively a fractal such as the Sierpinski gasket or for us an Euclidean model:

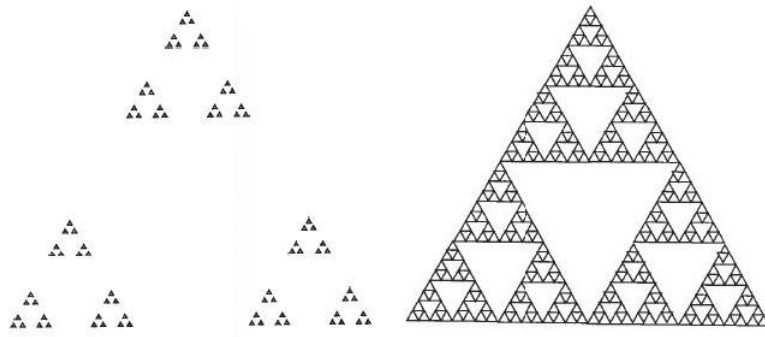


Figure 2: Model of  $\mathbb{Z}_3$  and Sierpinski gasket

*Example 3.2* (Sierpinski gasket). Let  $p = 3$ ,  $E = \mathbb{R}^2$  with basis  $e_1 = (1, 0)$ ,  $e_2 = (\frac{1}{2}, \frac{\sqrt{3}}{2})$ ,  $b > 1$ ,  $\alpha = b - 1$  (by the previous remark) and define

$$\nu(k) = \begin{cases} 0 & \text{if } k = 0 \\ e_1 & \text{if } k = 1 \\ e_2 & \text{if } k = 2 \end{cases}$$

Then

$$\Psi = \Psi_{3,b,\nu} : \mathbb{Z}_3 \rightarrow V \quad \sum_{i=0}^{\infty} a_i 3^i \mapsto (b-1) \sum_{i=0}^{\infty} \frac{\nu(a_i)}{b^{i+1}}$$

We observe that the self-similar images are disjoint when  $b > 2$ . Thus in that case we get homeomorphic models of  $\mathbb{Z}_3$  inside the triangle.

If  $b = 2$  the image is the well-known Sierpinski gasket which is a connected figure (synonym of not injectivity of  $\Psi$ ). For example we see that  $-\frac{3}{2}$  and 1 have the same image under  $\Psi$ : first note  $-\frac{3}{2} = \frac{1}{1-3} - 1 = \sum_{i=1}^{\infty} 3^i$ . Hence

$$\Psi(-\frac{3}{2}) = \sum_{i=2}^{\infty} \frac{e_1}{2^i} = e_1 \left( \frac{1}{1-\frac{1}{2}} - 1 - \frac{1}{2} \right) = \frac{e_1}{2} = \Psi(1)$$

In general, taking the enumeration base at the 'limit' of injectivity gives connected fractals which are the well-know ones!

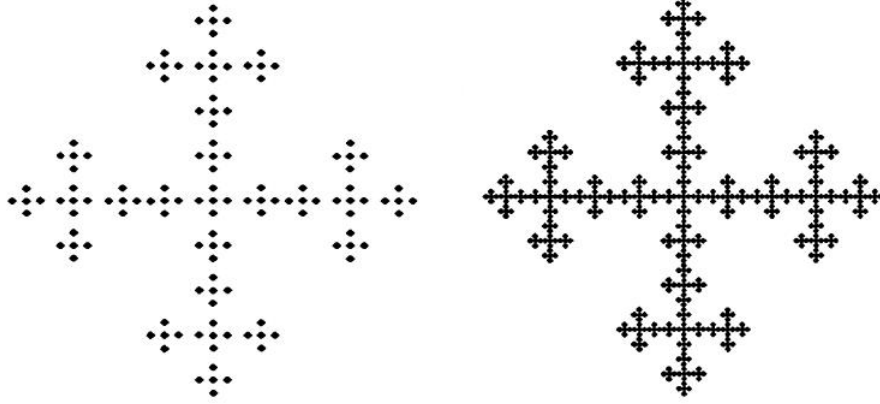


Figure 3: Model of  $\mathbb{Z}_5$  and limiting fractal

*Example 3.3.* Let  $p = 5$ ,  $E = \mathbb{R}^2$  and define

$$\nu(k) = \begin{cases} (0, 0) & \text{if } k = 0 \\ (1, 0) & \text{if } k = 1 \\ (0, 1) & \text{if } k = 2 \\ (-1, 0) & \text{if } k = 3 \\ (0, -1) & \text{if } k = 4 \end{cases}$$

We want to discuss injectivity: because of the symmetry it is enough to check it for the points lying between  $(0, 0)$  and  $(1, 0)$ . We want to avoid that the two p-adic integers  $\sum_{i=1}^{\infty} 5^i$  and  $1 + \sum_{i=1}^{\infty} 3 \cdot 5^i$  have the same image. It is easy to see this happens when  $b = 3$  since the two vertices of the squares meet if  $\Psi(1) = \frac{b-1}{b} = \frac{2}{3}$ . As above the limit case  $b = 3$  gives a connected fractal but not a homeomorphic model of  $\mathbb{Z}_5$ .

*Example 3.4* (Generalization of Example 3.3). Let  $p > 3$ ,  $E = \mathbb{R}^2$  and  $\alpha = b - 1$ . We can generate a regular  $(p - 1)$ -gon centered in 0 with vertices  $e_1, \dots, e_{p-1}$ . Let us choose them with norm equal 1 and define  $\nu(k) = e_k$  for  $k \neq 0$  and  $\nu(0) = 0$ . Then the injectivity of the map  $\Psi$  is obtained by  $b$  large enough: more precisely, for  $p \geq 7$  the minimal distance between points in  $(\Psi(k))_{k \in S}$  is between  $\Psi(k)$  and  $\Psi(k + 1)$ ,  $k \notin 0, p - 1$ , and it is given by



$\frac{2(b-1)}{b} \sin(\frac{\pi}{p-1})$ . Then  $b$  has to satisfy

$$(b-1) \sum_{i=2}^{\infty} \frac{1}{b^i} = \frac{1}{b} < \frac{b-1}{b} \sin(\frac{\pi}{p-1})$$

and this gives the criterium  $b > \frac{1}{\sin(\frac{\pi}{p-1})} + 1$  for injectivity. Note that  $p = 5$  has the same  $b$  of  $p = 7$ , since the minimal distance between points in  $\Sigma$  is between the center 0 and a vertex  $e_k$  and for  $p = 7$  we get an exagon where the minimal distance between points in  $\Sigma$  is both the distance between the center 0 and a vertex  $e_k$  and the one between two vertices next to each other.

*Remark.* Let us now calculate the dimension of the fractals obtained by letting  $b$  to the limit of injectivity of the map  $\Psi$  we have seen in this subsection. The dimension of the Sierpinski gasket is

$$d = \frac{\ln(3)}{\ln(2)} = 1.58,$$

the one of the limiting quadratic fractal in Example 3.3 is

$$d = \frac{\ln(5)}{\ln(3)} = 1.46$$

and the dimension of the fractal in the  $(p-1)$ -gon is

$$d = \begin{cases} \frac{\ln(7)}{\ln(3)} = 1.77 & \text{if } p = 5 \\ \frac{\ln(p)}{\ln\left(\frac{1}{\sin(\frac{\pi}{p-1})} + 1\right)} & \text{if } p \geq 7 \end{cases}$$

e.g. for  $p = 83$   $d = 1.339$ .

### 3.3 Euclidean models of $\mathbb{Q}_p$

We know that we can express  $\mathbb{Q}_p$  as

$$\mathbb{Q}_p = \bigcup_{m \geq 0} p^{-m} \mathbb{Z}_p. \quad (4)$$

But in the previous section we saw that a model of  $p\mathbb{Z}_p$  is a contraction of ratio  $\frac{1}{p}$  of the model  $\mathbb{Z}_p$ . So conversely a dilatation of ratio  $b$  of the model  $\mathbb{Z}_p$

gives us a model of  $\frac{1}{p}\mathbb{Z}_p$ . Thus inductively by (4) an euclidean model of  $\mathbb{Q}_p$  is nothing else but an extension of the model of  $\mathbb{Z}_p$  to the whole Euclidean space  $V$ . As one can imagine, the homeomorphism giving the Euclidean model is

$$\Psi := \Psi_{\nu,b,p} : \mathbb{Q}_p \rightarrow V \quad \sum_{i=-\infty}^{\infty} a_i p^i \mapsto \alpha \sum_{i=-\infty}^{\infty} \frac{\nu(a_i)}{b^{i+1}} \quad (5)$$

with the same assumptions as for equation (2).

Let us explain this more carefully for the model constructed in Example 3.4: take the  $(p-1)$ -gon which contains the fractal of  $\mathbb{Z}_p$  and copy it to its  $(p-1)$  vertices such that the two opposite vertices touch each other; we thus obtain a new much bigger  $(p-1)$ -gon containing  $p$  fractals and this will be the model of  $\frac{1}{p}\mathbb{Z}_p = \{\zeta \in \mathbb{Q}_p \mid a_{-k} = 0 \text{ for } k \geq 2\}$ . Inductively, take the  $(p-1)$ -gon containing the model of  $\frac{1}{p^{n-1}}\mathbb{Z}_p$ , copy it to its  $(p-1)$  vertices and obtain a model of  $\frac{1}{p^n}\mathbb{Z}_p$ .

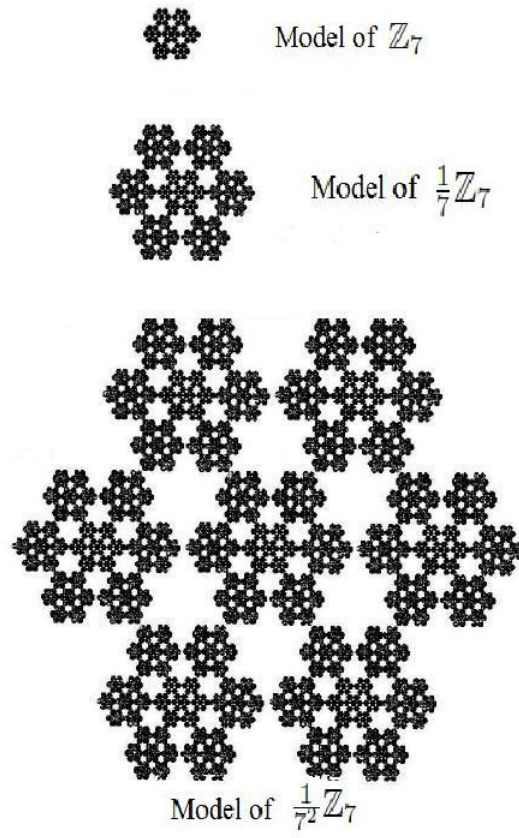


Figure 4: Iterative construction of the model of  $\mathbb{Q}_7$  given the one of  $\mathbb{Z}_7$